

Audit Criteria		ISO 9001:2015 (Q)	AS 4801:2001 (S)	ISO 14001:2015 (E)
3.1 General		1 Scope 2 Normative references 3 Terms and definitions	1 Scope 2 Referenced documents 3 Definitions	1 Scope 2 Normative references 3 Terms and definitions
3.2 Context	3.2.1 Organizational context	4.1 Understanding the organization and its context	4.1 General requirements	4.1 Understanding the organization and its context
	3.2.2 Needs and expectations of interested parties	4.2 Understanding the needs and expectations of interested parties	4.3.1 Planning identification of hazards, risk assessment and control of risks 4.3.2 Legal and other requirements	4.2 Understanding the needs and expectations of interested parties
	3.2.3 Management system	4.3 Determining the scope of the quality management system 4.4 Quality management system and its processes	4.4.4 Documentation	4.3 Determining the scope of the environmental management system 4.4 Environmental management system
3.3 Leadership	3.3.1 Leadership and commitment	5.1 Leadership and commitment	4.1 General requirements 4.2 OHS Policy	5.1 Leadership and commitment
	3.3.2 Policy	5.2 Policy	4.2 OHS Policy	5.2 Environmental policy
	3.3.3 Roles, responsibilities and authorities	5.3 Organizational roles, responsibilities and authorities	4.4.1 Structure and responsibility	5.3 Organizational roles, responsibilities and authorities
3.4 Planning	3.4.1 Risks and opportunities	6.1 Actions to address risks and opportunities	4.3.1 Planning identification of hazards, risk assessment and control of risks 4.3.2 Legal and other requirements	6.1 Actions to address risks and opportunities
	3.4.2 Objectives and related planning	6.2 Quality objectives and planning to achieve them 6.3 Planning of changes	4.3.3 Objectives and targets 4.3.4 OHS management plans	6.2 Environmental objectives and planning to achieve them
3.5 Support	3.5.1 Resources	7.1 Resources	4.4.1 Structure and responsibility	7.1 Resources
	3.5.2 Competence	7.2 Competence	4.4.2 Training and competency	7.2 Competence
	3.5.3 Awareness	7.3 Awareness	4.4.2 Training and competency	7.3 Awareness
	3.5.4 Communication	7.4 Communication	4.4.3 Consultation, communication and reporting	7.4 Communication
	3.5.5 Documented information	7.5 Documented information	4.4.5 Document and data control 4.5.3 Records and records management	7.5 Documented information
3.6 Operation	3.6.1 Operational planning and control	8.1 Operational planning and control 8.2 Requirements for products and services 8.3 Design and development of products and services 8.4 Control of externally provided processes, products and services 8.5 Production and service provision 8.6 Release of products and services	4.4.6 Hazard identification, risk assessment and control of risks	8.1 Operational planning and control
	3.6.2 Emergency preparedness and response	8.7 Control of nonconforming outputs	4.4.7 Emergency preparedness and response	8.2 Emergency preparedness and response
3.7 Performance	3.7.1 Measurement, analysis and evaluation	9.1 Monitoring, measurement, analysis and evaluation	4.5.1 Monitoring and measurement	9.1 Monitoring, measurement, analysis and evaluation
	3.7.2 Internal audit	9.2 Internal audit	4.5.4 OHSMS audit	9.2 Internal audit
	3.7.3 Management review	9.3 Management review	4.6 Management review	9.3 Management review
3.8 Improvement	3.8.1 Nonconformity and corrective action	10.1 (Improvement) General 10.2 Nonconformity and corrective action	4.5.2 Incident investigation, corrective and preventive action	10.1 (Improvement) General 10.2 Nonconformity and corrective action
	3.8.2 Continual improvement	10.1 (Improvement) General 10.3 Continual improvement	4.5.2 Incident investigation, corrective and preventive action	10.1 (Improvement) General 10.3 Continual improvement